

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****(Not for submission under 37 CFR 1.99)**

Application Number		09695812
Filing Date		2000-10-24
First Named Inventor	Galen C. Hunt	
Art Unit	2144	
Examiner Name	Michael A Delgado	
Attorney Docket Number	MS1-0547US	

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5668126		1999-10-19	Ekstrom, ; et al.	
	2	6075776		2000-06-13	Tanimoto, ; et al.	
	3	6085236		2000-07-04	Yuasa, ; et al	
	4	6108702		2000-08-22	Wood	
	5	6370573		2002-04-09	Bowman-Amuah	
	6	6549516		2003-04-15	Albert et al.	
	7	6570675		2003-05-27	Hegde	
	8	6675306		2004-01-06	Thomsen	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	09655812
Filing Date	2000-10-24
First Named Inventor	Galen C. Hunt
Art Unit	2144
Examiner Name	Michael A Delgado
Attorney Docket Number	MS1-0547US

9	6681262		2004-01-20	Rimmer	
10	6620121		2004-11-16	Callis, et al.	
11	6856501		2005-02-15	Ma, ; et al.	
12	6904458		2005-08-07	Bishop, ; et al	
13	6957186		2005-10-18	Guheen, ; et al.	
14	7027412		2006-04-11	Miyamoto, ; et al.	
15	7054943		2006-05-30	Goldschmidt, ; et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20020069360	A1	2002-06-06	Tremain, Geoffrey Donald	
	2	20030058063	A1	2003-03-20	Hochmuth, Roland M.; et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	06695812
Filing Date	2000-10-24
First Named Inventor	Galen C. Hunt
Art Unit	2144
Examiner Name	Michael A Delgado
Attorney Docket Number	MS1-0547US

3	20040073443		2004-04-15	Gabrick et al.	
4	20040117475	A1	2004-06-17	Steele, Doug, et al.	
5	20050193103	A1	2005-08-01	Drabik, John	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published	T ⁵
	1	Howard et al., "Designing Secure Web-Based Applications for Microsoft Windows 2000", 2000.	<input type="checkbox"/>
	2	Nerurkar, "Security Analysis and Design", Dr. Dobb's Journal, November 2000, pp. 50-56	<input type="checkbox"/>
	3	Nestor, "Security Modeling Using Hierarchical State Machines", IEEE 1991, pp/ 110-119.	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09895812
Filing Date	2000-10-24
First Named Inventor	Galen C. Hunt
Art Unit	2144
Examiner Name	Michael A Delgado
Attorney Docket Number	MS1-0547US

4

Shi et al., "An Effective Model for Composition of Secure Systems", The Journal of Systems and Software, 1998, pp. 233-244.



If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature

Date Considered

*EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	08695812
Filing Date	2000-10-24
First Named Inventor	Galen C. Hunt
Art Unit	2144
Examiner Name	Michael A Delgado
Attorney Docket Number	MS1-0547US

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).


OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2006-10-18
Name/Print	Allan T. Sponseller	Registration Number	38318

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.